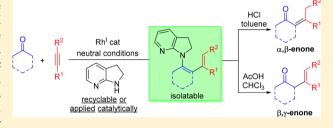


Bifunctional Ligand-Assisted Catalytic Ketone α -Alkenylation with Internal Alkynes: Controlled Synthesis of Enones and Mechanistic **Studies**

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Supporting Information

ABSTRACT: Here, we describe a detailed study of the rhodium(I)-catalyzed, bifunctional ligand-assisted ketone α -C-H alkenylation using internal alkynes. Through controlling the reaction conditions, conjugated enamines, α,β - or β,γ -unsaturated ketones, can be selectively accessed. Both aromatic and aliphatic alkynes can be employed as coupling partners. The reaction conditions also tolerate a broad range of functional groups, including carboxylic esters, malonates, secondary amides, thioethers, and free alcohols. In addition, excellent E-selectivity



was observed for the tetra-substituted alkene when forming the $\alpha \beta$ -unsaturated ketone products. The mechanism of this transformation was explored through control experiments, kinetic monitoring, synthesizing the rhodium-hydride intermediates and their reactions with alkynes, deuterium-labeling experiments, and identification of the resting states of the catalyst.

■ INTRODUCTION

Unsaturated ketones (also known as enones), typically those bearing α,β - or β,γ -C-C double bonds, have rich biological and chemical properties. They are often observed in bioactive compounds and frequently employed as synthetic intermediates. 1,2 Undoubtedly, numerous methods have been developed to date for enone synthesis.³⁻⁵ However, direct C-C coupling between a ketone and an alkyne represents one of the most attractive approaches due to the atom/redox-efficiency of the reaction and the wide availability of both starting materials.^{6,7} For example, the intramolecular ketone—alkyne cyclization, also known as "Conia-ene" reaction, represents a distinct way to synthesize cyclic enones, which can be catalyzed/mediated by a range of metals or enabled by thermal conditions (Scheme 1a). 8-38 In contrast, the intermolecular ketone-alkyne coupling is primarily known with activated methylene compounds as the substrates (Scheme 1b). 39-53 To date, only two approaches are available for intermolecular coupling of a regular ketone and an alkyne. One seminal work by Yamaguchi involves addition of silyl enol ethers into monosubstituted alkynes mediated by stoichiometric Lewis acids, such as Ga(III) and Sn(IV) salts.54-57 Recently, Trofimov and co-workers developed a strong basepromoted addition of potassium enolates into aryl terminal acetylenes (Scheme 1c). 38,59

To the best of our knowledge, the intermolecular coupling between a regular ketone and an internal alkyne remained an unknown transformation. Toward our long-term goal of developing byproduct-free ketone/unsaturate couplings under pH and redox-neutral conditions, 60 here we describe our detailed development of a catalytic ketone α -alkenylation reaction with unactivated disubstituted alkynes, which is enabled by a bifunctional ligand and a low-valent transitionmetal catalyst (Scheme 1d).

The transition-metal-catalyzed addition of sp² C-H bonds across unactivated alkynes has recently emerged as a powerful strategy to synthesize substituted alkenes in a byproduct-free fashion. 61,62 For example, Murai and co-workers reported the first directed hydroarylation of alkynes using a ruthenium catalyst. 62c The related alkyne hydrovinylation was first established by Trost and co-workers.^{62d} Later, Lim and Kang developed an rhodium-catalyzed ortho-alkenylation of 2-phenylpyridines with internal alkynes. ^{62h} Besides through a metal hydride reaction pathway, more recently Schipper and Fagnou demonstrated a Rh(III)-catalyzed intermolecular hydroarylation of alkynes via aryl-metalation followed by protonation. 620 In addition, Jun and co-workers have achieved a novel metalorganic cooperative approach in the rhodium-catalyzed hydroacylation of alkynes, in which an aldehyde was masked as an imine that can undergo directed C-H activation. 61a,62k These seminal works offer a solid foundation and important inspiration for our targeted catalytic ketone α -alkenylation with unactivated alkynes.

Our laboratory recently developed a regioselective ketone α -alkylation reaction with unactivated α -olefins, wherein the vinyl C-H bond of the enamine generated from the ketone and an amine bifunctional catalyst can be activated by Rh metal and added across olefins to deliver an alkylated enamine, which

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Scheme 1. C-C Couplings between Ketones and Alkynes

a. previous work: intramolecular coupling (Conia-ene)

b. previous work: intermolecular coupling with activated methylenes

c.previous work: intermolecular coupling with terminal alkynes

OTMS
$$R^1$$
 + R^3 stoichiometric $R^3 \neq TMS$ when $R^3 = TMS$ R^3 or R^1 R^3 or $R^3 \neq TMS$ R

DMSO, 100 °C

d. this work: Intermolecular coupling with regular ketones and internal alkynes

upon hydrolysis furnished the alkylation product and regenerated the bifunctional catalyst. 60 Accordingly, we envisioned a protocol for formal ketone α -alkenylation with internal alkynes wherein a conjugated enamine is formed as the key intermediate that can undergo hydrolysis to give either α,β - or β_{γ} -enones (Scheme 1d). As compared to the α -alkylation reaction, the challenges of the α -alkenylation reactions with internal alkynes are 3-fold. First, assisted by low valent metals, alkynes can undergo facile homocouplings to give dimer or trimer products. 63,64 Second, due to their low steric hindrance, more than one alkyne can coordinate to a single metal center, which often generates multiple-insertion products.⁶⁵ Third, chemo- and stereoselective hydrolysis of the proposed conjugated enamine intermediate is expected to be nontrivial (Scheme 1d).66 Particularly, control of the geometry for the newly formed tetra-substituted-alkene in the $\alpha_1\beta$ -enone products can be a significant concern.

■ RESULTS AND DISCUSSION

Reaction Condition Optimization. To probe the feasibility of the ketone—alkyne coupling, we initiated our studies with cyclopentanone 1a and diphenylacetylene 2a as the standard substrates. First, the effect of the bifunctional ligands was examined. Eight secondary and primary amine compounds (L1–L8 in Table 1) containing an adjacent directing group were subjected to the reaction conditions (5 mol % of Wilkinson's catalyst and 10 mol % of TsOH·H₂O in toluene at

Table 1. Evaluation of Bifunctional Ligands for Rh(I)-Catalyzed Coupling of Cyclopentanone and Diphenylacetylene^a

entry	bifunctional ligand	yields of products ^b
1	L1	3a 46%, 3a' 26%, 4a 17%
2	L2	all together <5%
3	L3	all together <5%
4	L4	all together <5%
5	L5	all together <5%
6	L6	all together <5%
7	L7	all together <5%
8	L8	all together <5%

"Conditions: cyclopentanone 1a (0.2 mmol), diphenylacetylene 2a (0.2 mmol). ^bYields were determined by ¹H NMR using 1,1,2,2-tetrachloroethane as the internal standard.

130 °C for 12 h). In accord with our previous study, ⁶⁰ 7-azaindoline (L1) exhibited unique and high catalytic activity, whereas other directing ligands were inactive. As a preliminary result, with 100 mol % loading of L1, the reaction gave 46% of conjugated enamine product 3a, 26% of its isomer (3a'), and 17% of the conjugated enone 4a (Table 1, entry 1). All of these products were isolated and fully characterized by ¹H/¹³C NMR, infrared (IR) and high-resolution mass spectrometry (HRMS). The structures of 3a and 4a's hydrazone derivatives were further unambiguously confirmed by X-ray crystallography (vide infra).

With an active bifunctional ligand L1 in hand, we continued our studies by investigating other reaction parameters shown in Table 2. First, we found that the absence of TsOH·H₂O led to an increased yield of 3a with no 4a formed (Table 2, entry 1). In contrast to our study with alkene couplings, ⁶⁰ TsOH·H₂O, previously proposed to promote enamine formation, is not necessary in this ketone/alkyne coupling reaction. Two control experiments (without the alkyne) revealed that Wilkinson's catalyst can significantly accelerate the condensation between ketone 1a and L1 to form the enamine intermediate (eq. 1).

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Table 2. Reaction Condition Screening

			temp (°C)	additives (mol %)	yields of products (%) ^b			
entry	Rh(I) (mmol %)	ratio (1a:2a:L1)			3a	3a'	4a	5a
		Optimization for Con	jugated Enamine	Product 3a				
1	$Rh(PPh_3)_3Cl(5)$	1:1:1	130	_	59	22	0	0
2	$[Rh(CO)_2Cl]_2$ (2.5)	1:1:1	130	_	17	12	0	0
3	$[Rh(coe)_2Cl]_2$ (2.5)	1:1:1	130	_	22	12	0	0
4	$[Rh(coe)2Cl]_2(5) + PCy_3(20)$	1:1:1	130	_	13	0	0	0
5	$[Rh(coe)2Cl]_2(5) + P(C_6F_5)_3(20)$	1:1:1	130	_	15	0	0	0
6	$Rh(PPh_3)_3Cl(5)$	2:1:1	130	_	64	31	0	0
7	$Rh(PPh_3)_3Cl(5)$	2:1:1	100	_	73	26	0	0
8	$Rh(PPh_3)_3Cl(1)$	2:1:1	100	_	31	0	0	0
9 ^c	$Rh(PPh_3)_3Cl(2)$	2:1:1	100	_	84	11	4	0
		Optimization for Cor	njugated Ketone F	Product 4a				
10	$Rh(PPh_3)_3Cl(5)$	2:1:1	130	TsOH·H ₂ O (10)	30	51	18	0
11	$Rh(PPh_3)_3Cl(5)$	2:1:1	130	$PhCO_2H$ (10)	55	40	4	0
12^d	$Rh(PPh_3)_3Cl(5)$	2:1:0.5	130	TsOH·H ₂ O (10)	7	0	64	9
13	_	2:1:1	130	TsOH·H ₂ O (10)	0	0	0	0
14	$Rh(PPh_3)_3Cl(5)$	2:1:0	130	TsOH· H_2O (10)	_	_	0	0

^aGeneral conditions: 0.5 mmol scale, toluene 2.5 mL. ^bYields were determined by ¹H NMR using 1,1,2,2-tetrachloroethane as the internal standard. ^c48 h. ^d24 h. After the reaction, HCl (conc. 40 μ L) was added; the reaction was further heated at 130 °C for 0.5 h.

Consequently, in the absence of this protic acid, the conjugated enmaine products (3a/3a') are stable under the reaction conditions.

[Rh(CO)₂Cl]₂ and [Rh(coe)₂Cl]₂ also delivered the desired products albeit giving lower yields than Wilkinson's catalyst (entries 2 and 3). Different phosphine ligands other than PPh₃ were also evaluated, whereas both electron-rich and -deficient ones gave much lower yields (entries 4 and 5).⁶⁷ Use of 2 equiv of cyclopentanone led to a full conversion of L1 with 64% yield of 3a and 31% yield of 3a' (entry 6). It is noteworthy that under the conditions giving low yields (e.g., entries 4 and 5), 3a' was not detected in the reaction mixture. We rationalized that 3a was likely the initial product formed, and 3a' is the isomerized product of 3a. Indeed, when pure 3a was heated at 130 °C overnight, 40% conversion to 3a' was observed (eq 2).

The 3a/3a′ ratio was higher when the reaction was conducted at 100 °C instead of 130 °C (entry 7), without losing reactivity. We further discovered that lowering the catalyst loading to 1 mol % resulted in almost no isomerization, but 3a was formed in only 31% yield, due to the relatively low reaction rate (entry 8). However, by using 2 mol % of Wilkinson's catalyst at 100 °C for 48 h, a full conversion with 84% yield of product 3a was obtained (entry 9).

With an optimal yield for conjugate enamine 3a in hand, we next optimized the yield for enone 4a through adding an acid as a cocatalyst. Tosylic acid monohydrate and benzoic acid both showed comparable conversions. However, formation of 4a was

more favorable when tosylic acid monohydrate was used (entries 10 and 11). In principle, L1 can be employed in a catalytic amount for in situ hydrolysis of 3a, which would liberate L1. However, due to the high stability of the conjugated enamine product 3a (3a'), 50 mol % of L1 was used to ensure the reaction rate. At the end of the reaction, simple workup with a small amount of HCl aqueous solution for one-half an hour (to hydrolyze any remaining conjugated enamines) afforded an acceptable yield of 4a along with a small amount of vinyl ketone 5a (entry 12). Finally, control experiments showed that the rhodium catalyst and bifunctional ligand L1 are both pivotal to this transformation (entries 13 and 14). Another potential pathway to form 4a is aldol reaction between ketone 1a and 2-phenylacetophenone, which can possibly come from the hydration of alkyne 2a. However, as indicated in a control experiment, replacing alkyne 2a with 2-phenylacetophenone led to no desired coupling products, which excluded the hydration passway.68

Scope of Conjugated Enamine Products. The scope of forming conjugated enamines (from ketones, alkynes, and L1) is illustrated in Chart 1. As expected, the nature of the ketones and alkynes influenced the yields of these reactions; thus the reaction conditions, such as catalyst loading, temperature, and concentration, were slightly varied in each case (see the Supporting Information for details). In general, the five membered-ring ketones were normally run at 100-120 °C with 2 mol % Rh-catalyst loading, whereas the six-membered ring ketones need a relatively higher temperature (150 °C) and 5 mol % of catalyst to enhance the enamine condensation and further coupling with alkynes. In this study, 1 equiv of the ketone substrate was used except for simple cyclopentanone and cyclohexanone. Regarding the alkyne partners, aliphatic alkynes normally exhibit higher reactivity than aromatic ones, thus requiring relatively lower temperatures and dilute

Chart 1. Synthesis of Conjugated Enamine Products^a

"For unsubstituted cyclopentanones and cyclohexanones, 2 equiv of the ketone was employed; for other ketones, 1 equiv of the ketone was employed. For detailed conditions, see the Supporting Information. All of the yields are isolated yields. rr: regioisomer ratio.

concentration. In addition, we found when the aliphatic alkynes were run at the standard concentration (0.2 M), multiple alkyne insertions took place, giving a complex mixture that was not observed when the reaction was conducted at 0.1 M concentration

A series of symmetrical diarylacetylenes were examined first, and the corresponding conjugated enamine products were isolated in good to excellent yields (3a-3f). Thiophene and naphthalene were tolerated under the reaction conditions (3e and 3f). Next, we tested unsymmetrical alkynes containing one alkyl and one aryl substituent, 1-phenyl-propyne and 1-phenyl-1-hexyne (3g and 3h). It is interesting to observe that both substrates prefer to form a C-C bond at the aryl site.

While the selectivity is moderate, ⁶⁹ the major isomers can be cleanly isolated in synthetically useful yields. Symmetrical aliphatic alkynes (3i and 3j) also worked well when running at 0.1 M concentration. It was exciting to find that electron-deficient phenylpropiolic dimethyl amide was also a suitable substrate, giving a high selectivity for the "reverse conjugate addition" product (3k) confirmed by the X-ray crystallography. Because propiolic acid derivatives are known as good Michael acceptors, the lack of normal conjugate addition supports a metal hydride-involved mechanism (vide infra). ⁷⁰ Chemoselectivity of this transformation was further demonstrated by the compatibility of unprotected alcohols. Phenylproparyl alcohol can be directly coupled to give the enamine-allyl-alcohol product

(31) with good regioselectivity. Monosubstituted alkynes were found not suitable under the current reaction conditions, as the hydroamination with L1 was the major side reaction.

Subsequently, the scope of the ketones was investigated (also see Charts 2 and 3). A range of 3-substituted cyclopentanones

Chart 2. Synthesis of Conjugated Enones^a

^a(a) Reaction conditions: 0.2 mmol scale, toluene 1 mL. All of the yields are isolated yields of the major E isomer. E/Z ratio is around 10:1. (b) With 100 mol % L1.

were first utilized to examine the site-selectivity (for the ketone) and functional group tolerance. To our delight, the alkenylation occurred exclusively at the less hindered C5 position of the ketones (3m-s). Substrates containing acidic C-H bonds, such as those α to a carboxylic ester (3r) and a malonate group (3s), were well tolerated. Thioethers (3n), aryl bromides (30), and amides (3p) were compatible as well. The high chemoselectivity can be attributed to the pH and redoxneutral conditions. 2-Indanone can also couple to give the desired product 3t in a moderate yield. Although of lower reactivity, six-membered cyclic ketones (3u-z) were suitable substrates at an elevated temperature. Cholesterol-derived substrates gave the desired vinylation product (3x) selectively at the less hindered site of the ketone albeit in a lower yield. Heterocyclic ketones also underwent efficient couplings to give

Chart 3. Synthesis of Vinyl Ketone Products^a

^aReaction conditions: 0.2 mmol scale. One milliliter of CHCl₃ and 1 mL of 10% (v/v) aqueous acetic acid. All of the yields are isolated yields.

the corresponding enamines (3y and 3z). Acyclic ketones, for example, acetophenone, also reacted; however, the pure form of the conjugated enamine product (observed from the crude NMR and mass spectra) proved to be difficult to isolate due to its lability (for a one-pot alkenylation with acetophenone, see Chart 3). It is not surprising that, as compared to cyclic ketones, acyclic ketones showed significantly diminished reactivity due to their increased difficulty to form enamines.⁷¹ Thus, the general trend of reactivity is in the order of fivemembered cyclic ketones > six-membered cyclic ketones > linear ketones.

Scope of Conjugated Enone Products. The scope of directly forming conjugated enones was next investigated (Chart 2). Under the previously optimized conditions (vide supra, Table 1, entry 12), a variety of α,β -unsaturated ketones were synthesized in 50-70% yields. This coupling also tolerated a number of functional groups. Given the challenge of forming geometrically defined tetra-substituted alkenes, it is particularly interesting to note that all reactions with cyclic ketones gave E enone as the predominant products (E:Z \approx 10:1). The high selectivity is likely controlled by the preferred conformation of the conjugated-enamine intermediate during the hydrolysis step, in which the 1,3-diene would adopt an s-cis conformation to avoid the steric repulsion between the 7azaindoline and the aryl group (Scheme 2). Most of the enone products were derivatized to the corresponding 2,4-dinitrophenyl hydrozones, and their structures were confirmed through X-ray crystallography (Scheme 3).

Scope of Vinyl Ketone Products. Undoubtedly, the α,β unsaturated enones are the thermodynamically more favorable

Scheme 2. Rationalization of the Alkene Geometry

$$Ar' \xrightarrow{H_3O} O \xrightarrow{Ar'} Ar'$$

$$(E)$$

$$(E):(Z) = \sim 10:1$$

$$Ar \xrightarrow{Ar'} Ar'$$

$$(Z)$$

Scheme 3. Derivatization of Enone Products

products during the enamine hydrolysis; thus it would be difficult to obtain the less stable vinyl ketones ($\beta_1 \gamma$ -unsaturated enones) selectively using the in situ hydrolysis protocol.⁶⁶ However, the problem can be solved via a mild hydrolysis protocol (e.g., relatively low temperature) of the conjugate enamine intermediates (3) to acquire the kinetic products. By carefully tuning the hydrolysis conditions, the vinyl ketone products ($\beta_1\gamma$ -unsaturated enones) can be accessed selectively with retention of the geometry of the vinyl group (Chart 3). We found that a weak acid, such as acetic acid, in chloroform at room temperature gave the highest selectivity for the vinyl ketone. A number of conjugated enamines obtained from the ketone/alkyne/L1 coupling were subjected to these conditions. In general, the $\beta_1\gamma$ -enones products were formed predominantly, and the geometry of the vinyl group was conserved during the hydrolysis. In the case of 3-phenylcyclopentanone (5g), only one diastereoisomer was observed, and the stereochemistry was revealed by 2D NMR analysis showing that the phenyl and vinyl substituents are in a cis relationship. In contrast, a pair of diastereoisomers were isolated for the six-membered ring substrates (5i and 5j). Linear ketones such as acetophenone also participated in this transformation. Interestingly, using a one-pot procedure, the vinyl ketone products (5k and 5k') were isolated as the major products instead of the conjugated enones.

Furthermore, a larger scale experiment was conducted to examine the scalability of the reaction. Running the reaction at a 5 mmol scale with 2 mol % Rh catalyst at 100 °C for 40 h gave 5a in 65% yield after mild hydrolysis. The directing ligand L1 was recovered in 76% yield, showing that it can be recycled (eq 3).

Proposed Mechanism. While other possible mechanisms cannot be excluded at this stage, 72 on the basis of our previous work with alkene insertion⁶⁰ and the aforementioned control experiments, a plausible catalytic cycle of the ketone α -C-H alkenylation is illustrated in Scheme 4. The reaction begins with

Scheme 4. Proposed Catalytic Cycle

the condensation of the secondary amine L1 with the ketone to form an enamine intermediate I (step A). During this step, the ketone α sp³ C-H bond is converted into a sp² C-H bond, thus enhancing the reactivity toward oxidative addition by a low-valent transition metal. 73,74 Meanwhile, the adjacent pyridine group to the amine domain facilitates insertion of the rhodium(I) species into the resulting enamine vinyl C-H bond giving a rhodium-hydride species II (Rh-H, step B). Upon alkyne coordination with the metal, subsequent Rh-H migratory insertion (step D) or Rh-vinyl migratory insertion (not drawn) and reductive elimination (step E) would provide the final alkenylated conjugated enamine product. The following efforts have been conducted to explore the feasibility of the proposed

Kinetic Monitoring. The reaction progress for forming the conjugate enamines (3a and 3a') was monitored by ¹H NMR (Figure 1). The enamine adduct between the ketone and L1 (INT, vide supra, eq 1) was observed at 1 h and disappeared at 3 h, suggesting that it was a reactive intermediate during the reaction. The reaction was completed at 5 h with full consumption of the bifunctional ligand L1. The profile after 3 h clearly showed that the conjugated enamine product 3a started to isomerize to 3a', which ultimately reached an equilibrium with a ratio 3a/3a' of about 2:1.

Identification, Synthesis, and Characterization of Rhodium-Hydride Complexes. Low valent transition metals, such as Ru(0), Rh(I), and Ir(I), are known to undergo oxidative addition into C-H bonds to give metal-hydride species.⁷⁵ However, metal-hydride complexes are challenging to capture and isolate particularly during a C-H activation reaction, due to their high reactivity and lability. 69 Thus, we first explore the feasibility to synthesize Rh-H species with more stable enamine substrates. Previously, we have demonstrated the 1,2-diketone-derived enamines can undergo C-H coupling Journal of the American Chemical Society

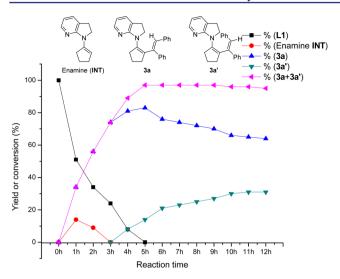


Figure 1. Reaction progress profile for conjugated enamine formation monitored by ¹H NMR. The reaction was conducted with 5 mol % Wilkinson's catalyst at 130 °C.

with alkenes and alkynes. 76,77 To our delight, treatment of enamines 8a and 8b with Rh(PPh₃)₃Cl in C₆D₆ for 1 h afforded the desired Rh-H species 9a and 9b, respectively (eqs 4 and 5),

which were unambiguously characterized by NMR, IR, HRMS, and X-ray crystallography. From the X-ray structures, the two PPh₃ ligands adopt a trans geometry. Surprisingly, these rhodium(III) hydride species can be isolated in high yields via benchtop flash chromatography, and they were stable in the air for several hours. The high efficiency of these C-H oxidative addition reactions is likely due to the strong electronwithdrawing nature of the conjugated carbonyl group, which may stabilize the Rh-H intermediates.

Encouraged by these results, we continued our study with the monoketone derived enamine (8c). In this case, Wilkinson's catalyst did not yield any detectable metal-hydride species monitored by in situ ¹H NMR analysis. Failure to capture a stable metal-hydride species does not necessarily mean that Wilkinson's catalyst lacks the reactivity to insert into the C-H bond. We hypothesized that due to the bulkiness and π -accepting nature of the triphenylphosphine ligand, the reverse reaction (C-H reductive elimination) would be more favorable during the activation of enamine 8c. Consequently, the Rh-H species derived from the normal ketone enamine 8c could possibly be a high energy transient intermediate. To test this hypothesis, a less bulky but more electron-rich ligand, that is, trimethylphosphine, was employed (eq 6), which should help to facilitate the C-H oxidative addition and stabilize the Rh(III) intermediate by disfavoring the reductive elimination.

Indeed, after heating [Rh(ethylene)₂Cl]₂, 8c, and PMe₃ at 130 °C for 1 h, a rhodium-hydride complex (9c) is formed, which was found more sensitive to air than complexes 9a and 9b. 78 Nevertheless, we were able to isolate complex 9c and characterize the structure via the X-ray crystallography. This Rh-H complex holds a distorted octahedral geometry with two phosphorus groups at the axial positions, and the Rh-H bond length is 1.505 Å. To the best of our knowledge, this represents the first example of insertion of a low-valent transition metal into a regular enamine vinyl C-H bond via oxidative addition.

Reactivity of Rhodium-Hydride Complexes with Alkenes and Alkynes. Having examined the feasibility of the oxidative addition into the vinyl C-H bond (step B, Scheme 4), we next investigated the C-C bond forming step through 2π -insertion with the rhodium-hydride intermediate (migratory insertion and reductive elimination, steps D and E). Rhodium-hydride complexes 9a and 9b were employed initially (eqs 7 and 8). Treatment of 9a with 5 equiv of

3,3-dimethylbutene (10) provided the desired alkylation product 11 in 90% yield. Through monitoring the reaction at different temperatures, no significant conversion was observed below 100 °C. However, when 9b reacted with 2 equiv of diphenylacetylene 2a, the metal-hydride peak disappeared in less than 10 min at 50 °C, suggesting a much higher reactivity with alkynes. The alkenylation product (12) was obtained in 91% yield at 100 °C within 0.5 h. These observations are consistent with our previously proposed rhodium-hydrideinvolved mechanism for alkylation and alkenylation of 1,2diketone-derived enamines."

The reaction between the monoketone-derived rhodiumhydride complex (9c) and diphenylacetylene was tested subsequently (eq 9). While showing almost no reactivity with

alkenes, 9c reacted with the alkyne to give the corresponding conjugated enamine (3t) in 51% yield at 130 °C.

Deuterium-Labeling Experiments. In the proposed cycle (Scheme 4), metal-hydride migratory insertion and reductive elimination will transfer a ketone α -proton to the terminal vinyl position of the conjugated enamine product. Indeed, when deuterated cyclopentanone 1a' (α and α '-deuterated 92%) was allowed to react under the standard conditions, the NMR analysis of the product 3a" revealed 68% incorporation of deuterium at the terminal vinyl position (eq 10). The erosion

in deuterium incorporation for enone 3a" is likely caused by a proton exchange between the α -hydrogens of 1a' and the NH hydrogen of L1. In addition, the resulting E olefin geometry in the product supports a *syn*-migratory insertion pathway.

Identification of the Resting States of the Catalyst. To gain a better understanding of the catalytic cycle, we continued to identify the resting states of the catalyst. When monitoring the standard reaction of forming conjugated enamine 3a in toluene- d_8 at 130 °C, we observed two sets of discrete phosphine-ligated rhodium species, which should correlate to the resting states of the catalyst (Figure 2A).⁷⁹ A doublet at

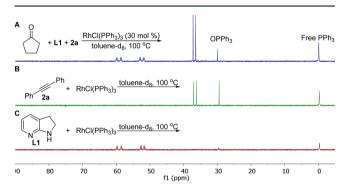


Figure 2. ³¹P NMR of mechanistic studies.

36.8 ppm with a J_{Rh-P} value of 126 Hz and two doublets of doublets at 59.3 and 52.4 ppm with J_{Rh-P} values of 200 and 166 Hz, respectively, were found in the ³¹P NMR spectrum.

To identify these rhodium species, we carried out control experiments by mixing Rh(PPh₃)₃Cl with each reagent one at a time. We discovered that the doublet at 36.8 ppm in ³¹P NMR spectrum appeared when Wilkinson's catalyst and diphenylacetylene were mixed and heated together (Figure 2B and eq 11).

Fortunately, a crystal was obtained from this reaction, and the X-ray structure is shown in Figure 3 as an alkyne-coordinated complex (13). This complex exhibits a pseudotrigonal bipyramidal geometry in which the distorted diphenylacetylene and chloride form the triangle base and the two phosphorus groups occupy the axial positions. The bond distance between

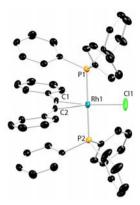


Figure 3. Crystal structure of complex 13 at 50% probability level. Hydrogen atoms are omitted for clarity. Selected bond lengths (Å): Rh1-P1 = 2.342, Rh1-P2 = 2.342, Rh1-C1 = 2.072, Rh1-C2 =2.072, Rh1-Cl1 = 2.341. Selected bond angle (deg): C2-Rh1-C1 = 35.6.

the rhodium and one of the alkynyl carbon is 2.075 Å, and the C-Rh-C angle is 35.6°.

Later, we found that the synthesis of complex 13 was first reported by Wilkinson in 1966,80 albeit that no structure was defined at that time. In accord with Wilkinson's discovery, we also observed that complex 13 dissociates when dissolved in solution and cannot be recrystallized. This phenomenon implies that complex 13 is not stable without excess alkynes and phosphine ligands in solution, and thus may hold high catalytic activity. Indeed, when complex 13 (5 mol %) was used as the catalyst instead of Rh(PPh₃)₃Cl, the reaction gave 70% yield of 3a within 2 h (eq 12). All together, we anticipate that complex 13 is one of the resting states of the catalyst.

Regarding the other Rh complex observed during the catalytic reaction, the identical doublets of doublets at 59.3 and 52.4 ppm in ³¹P NMR were observed when just heating Wilkinson's catalyst and L1 together (Figure 2C). While attempts to isolate a pure complex for further characterization remained unfruitful, we are confident that the other resting state of the catalyst should contain rhodium, triphenylphosphine, and the bifunctional ligand (L1).

CONCLUSIONS

In summary, we have developed a rhodium(I)-catalyzed bifunctional ligand-assisted ketone alkenylation using unactivated disubstituted alkynes as the coupling partner. Through controlling the reaction conditions, selective synthesis of conjugated enamines, α,β -and β,γ -unsaturated ketones, can be achieved. While both cyclic and acyclic ketones can be used as the substrates, cyclic ketones are more reactive. The intermolecular coupling of ketones with internal alkynes shows high site-, chemo-, and stereoselectivity. This transformation can also tolerate a range of functional groups due to its near pH and redox-neutral conditions. Finally, the proposed reaction mechanism was carefully explored through control experiments, kinetic monitoring, preparation of the rhodium-hydride intermediates and their reactions with alkynes, deuterium-labeling

experiments, and identification of the resting states of the catalyst. We expect this detailed study would shed light on the scope and potential of the dual activation strategy for catalytic ketone-unsaturated couplings. Expansion to the coupling of other types of unsaturated hydrocarbons, for example, allenes and 1,3-dienes, is ongoing in our laboratory.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/jacs.5b10466.

Text, figures, tables, and CIF files giving experimental procedures, kinetics data, and crystallographic information (PDF)

X-ray data for compound 3k(CIF)

X-ray data for compound 7j (CIF)

X-ray data for compound 7e (CIF)

X-ray data for compound **3t** (CIF)

X-ray data for compound 13 (CIF)

X-ray data for compound **5k**' (CIF)

X-ray data for compound 7a (CIF)

X-ray data for compound 7h (CIF)

X-ray data for compound **5b** (CIF)

X-ray data for compound 5c (CIF)

X-ray data for compound 5c (CIF)

X-ray data for compound 3a (CIF)

X-ray data for compound 9c (CIF)

X-ray data for compound 8c (CIF)

X-ray data for compound 9b (CIF)

X-ray data for compound 7d (CIF)

X-ray data for compound 7g (CIF)

X-ray data for compound 7b (CIF)

X-ray data for compound 31 (CIF)

X-ray data for compound 9a (CIF)

X-ray data for compound 7i (CIF)

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Notes

The authors declare no competing financial interest.

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